

< draft-ietf-suit-architecture-05.txt	draft-ietf-suit-architecture-06.txt >																																																																																																																																
SUIT Internet-Draft Intended status: Informational Expires: October 11, 2019 B. Moran Arm Limited M. Meriac Consultant H. Tschofenig Arm Limited D. Brown Linaro April 09, 2019	SUIT Internet-Draft Intended status: Informational Expires: February 14, 2020 B. Moran Arm Limited M. Meriac Consultant H. Tschofenig Arm Limited D. Brown Linaro August 13, 2019																																																																																																																																
A Firmware Update Architecture for Internet of Things Devices draft-ietf-suit-architecture-05	A Firmware Update Architecture for Internet of Things Devices draft-ietf-suit-architecture-06																																																																																																																																
Abstract	Abstract																																																																																																																																
Vulnerabilities with Internet of Things (IoT) devices have raised the need for a solid and secure firmware update mechanism that is also suitable for constrained devices. Incorporating such update mechanism to fix vulnerabilities, to update configuration settings as well as adding new functionality is recommended by security experts. This document lists requirements and describes an architecture for a	Vulnerabilities with Internet of Things (IoT) devices have raised the need for a solid and secure firmware update mechanism that is also suitable for constrained devices. Incorporating such update mechanism to fix vulnerabilities, to update configuration settings as well as adding new functionality is recommended by security experts. This document lists requirements and describes an architecture for a																																																																																																																																
skipping to change at page 1, line 41 symmetric key approach for very constrained devices.	skipping to change at page 1, line 41 symmetric key approach for very constrained devices.																																																																																																																																
Status of This Memo	Status of This Memo																																																																																																																																
This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.	This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.																																																																																																																																
Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at https://datatracker.ietf.org/drafts/current/ .	Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at http://datatracker.ietf.org/drafts/current/ .																																																																																																																																
Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."	Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."																																																																																																																																
This Internet-Draft will expire on October 11, 2019.	This Internet-Draft will expire on February 14, 2020.																																																																																																																																
Copyright Notice	Copyright Notice																																																																																																																																
Copyright (c) 2019 IETF Trust and the persons identified as the document authors. All rights reserved.	Copyright (c) 2019 IETF Trust and the persons identified as the document authors. All rights reserved.																																																																																																																																
This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (https://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.	This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.																																																																																																																																
This document may contain material from IETF Documents or IETF Contributions published or made publicly available before November 10, 2008. The person(s) controlling the copyright in some of this	This document may contain material from IETF Documents or IETF Contributions published or made publicly available before November 10, 2008. The person(s) controlling the copyright in some of this																																																																																																																																
skipping to change at page 2, line 36	skipping to change at page 2, line 36																																																																																																																																
the copyright in such materials, this document may not be modified outside the IETF Standards Process, and derivative works of it may not be created outside the IETF Standards Process, except to format it for publication as an RFC or to translate it into languages other than English.	the copyright in such materials, this document may not be modified outside the IETF Standards Process, and derivative works of it may not be created outside the IETF Standards Process, except to format it for publication as an RFC or to translate it into languages other than English.																																																																																																																																
Table of Contents	Table of Contents																																																																																																																																
<table border="0"> <tr><td>1. Introduction</td><td>3</td></tr> <tr><td>2. Conventions and Terminology</td><td>3</td></tr> <tr><td>3. Requirements</td><td>6</td></tr> <tr><td> 3.1. Agnostic to how firmware images are distributed</td><td>7</td></tr> <tr><td> 3.2. Friendly to broadcast delivery</td><td>7</td></tr> <tr><td> 3.3. Use state-of-the-art security mechanisms</td><td>7</td></tr> <tr><td> 3.4. Rollback attacks must be prevented</td><td>8</td></tr> <tr><td> 3.5. High reliability</td><td>8</td></tr> <tr><td> 3.6. Operate with a small bootloader</td><td>8</td></tr> <tr><td> 3.7. Small Parsers</td><td>9</td></tr> <tr><td> 3.8. Minimal impact on existing firmware formats</td><td>9</td></tr> <tr><td> 3.9. Robust permissions</td><td>9</td></tr> <tr><td> 3.10. Operating modes</td><td>9</td></tr> <tr><td>4. Claims</td><td>11</td></tr> <tr><td>5. Communication Architecture</td><td>12</td></tr> <tr><td>6. Manifest</td><td>16</td></tr> <tr><td>7. Device Firmware Update Examples</td><td>17</td></tr> <tr><td> 7.1. Single CPU SoC</td><td>17</td></tr> <tr><td> 7.2. Single CPU with Secure - Normal Mode Partitioning</td><td>17</td></tr> <tr><td> 7.3. Dual CPU, shared memory</td><td>17</td></tr> <tr><td> 7.4. Dual CPU, other bus</td><td>17</td></tr> <tr><td>8. Bootloader</td><td>18</td></tr> <tr><td>9. Example</td><td>20</td></tr> <tr><td>10. IANA Considerations</td><td>21</td></tr> <tr><td>11. Security Considerations</td><td>22</td></tr> <tr><td>12. Mailing List Information</td><td>22</td></tr> <tr><td>13. Acknowledgements</td><td>23</td></tr> <tr><td>14. References</td><td>24</td></tr> <tr><td> 14.1. Normative References</td><td>24</td></tr> <tr><td> 14.2. Informative References</td><td>24</td></tr> <tr><td> 14.3. URIs</td><td>25</td></tr> <tr><td>Authors' Addresses</td><td>25</td></tr> </table>	1. Introduction	3	2. Conventions and Terminology	3	3. Requirements	6	3.1. Agnostic to how firmware images are distributed	7	3.2. Friendly to broadcast delivery	7	3.3. Use state-of-the-art security mechanisms	7	3.4. Rollback attacks must be prevented	8	3.5. High reliability	8	3.6. Operate with a small bootloader	8	3.7. Small Parsers	9	3.8. Minimal impact on existing firmware formats	9	3.9. Robust permissions	9	3.10. Operating modes	9	4. Claims	11	5. Communication Architecture	12	6. Manifest	16	7. Device Firmware Update Examples	17	7.1. Single CPU SoC	17	7.2. Single CPU with Secure - Normal Mode Partitioning	17	7.3. Dual CPU, shared memory	17	7.4. Dual CPU, other bus	17	8. Bootloader	18	9. Example	20	10. IANA Considerations	21	11. Security Considerations	22	12. Mailing List Information	22	13. Acknowledgements	23	14. References	24	14.1. Normative References	24	14.2. Informative References	24	14.3. URIs	25	Authors' Addresses	25	<table border="0"> <tr><td>1. Introduction</td><td>3</td></tr> <tr><td>2. Conventions and Terminology</td><td>3</td></tr> <tr><td>3. Requirements</td><td>7</td></tr> <tr><td> 3.1. Agnostic to how firmware images are distributed</td><td>7</td></tr> <tr><td> 3.2. Friendly to broadcast delivery</td><td>8</td></tr> <tr><td> 3.3. Use state-of-the-art security mechanisms</td><td>8</td></tr> <tr><td> 3.4. Rollback attacks must be prevented</td><td>8</td></tr> <tr><td> 3.5. High reliability</td><td>9</td></tr> <tr><td> 3.6. Operate with a small bootloader</td><td>9</td></tr> <tr><td> 3.7. Small Parsers</td><td>10</td></tr> <tr><td> 3.8. Minimal impact on existing firmware formats</td><td>10</td></tr> <tr><td> 3.9. Robust permissions</td><td>10</td></tr> <tr><td> 3.10. Operating modes</td><td>10</td></tr> <tr><td> 3.11. Suitability to software and personalization data</td><td>12</td></tr> <tr><td>4. Claims</td><td>13</td></tr> <tr><td>5. Communication Architecture</td><td>13</td></tr> <tr><td>6. Manifest</td><td>17</td></tr> <tr><td>7. Device Firmware Update Examples</td><td>18</td></tr> <tr><td> 7.1. Single CPU SoC</td><td>18</td></tr> <tr><td> 7.2. Single CPU with Secure - Normal Mode Partitioning</td><td>18</td></tr> <tr><td> 7.3. Dual CPU, shared memory</td><td>18</td></tr> <tr><td> 7.4. Dual CPU, other bus</td><td>18</td></tr> <tr><td>8. Bootloader</td><td>19</td></tr> <tr><td>9. Example</td><td>21</td></tr> <tr><td>10. IANA Considerations</td><td>24</td></tr> <tr><td>11. Security Considerations</td><td>24</td></tr> <tr><td>12. Mailing List Information</td><td>25</td></tr> <tr><td>13. Acknowledgements</td><td>26</td></tr> <tr><td>14. References</td><td>27</td></tr> <tr><td> 14.1. Normative References</td><td>27</td></tr> <tr><td> 14.2. Informative References</td><td>27</td></tr> <tr><td> 14.3. URIs</td><td>28</td></tr> </table>	1. Introduction	3	2. Conventions and Terminology	3	3. Requirements	7	3.1. Agnostic to how firmware images are distributed	7	3.2. Friendly to broadcast delivery	8	3.3. Use state-of-the-art security mechanisms	8	3.4. Rollback attacks must be prevented	8	3.5. High reliability	9	3.6. Operate with a small bootloader	9	3.7. Small Parsers	10	3.8. Minimal impact on existing firmware formats	10	3.9. Robust permissions	10	3.10. Operating modes	10	3.11. Suitability to software and personalization data	12	4. Claims	13	5. Communication Architecture	13	6. Manifest	17	7. Device Firmware Update Examples	18	7.1. Single CPU SoC	18	7.2. Single CPU with Secure - Normal Mode Partitioning	18	7.3. Dual CPU, shared memory	18	7.4. Dual CPU, other bus	18	8. Bootloader	19	9. Example	21	10. IANA Considerations	24	11. Security Considerations	24	12. Mailing List Information	25	13. Acknowledgements	26	14. References	27	14.1. Normative References	27	14.2. Informative References	27	14.3. URIs	28
1. Introduction	3																																																																																																																																
2. Conventions and Terminology	3																																																																																																																																
3. Requirements	6																																																																																																																																
3.1. Agnostic to how firmware images are distributed	7																																																																																																																																
3.2. Friendly to broadcast delivery	7																																																																																																																																
3.3. Use state-of-the-art security mechanisms	7																																																																																																																																
3.4. Rollback attacks must be prevented	8																																																																																																																																
3.5. High reliability	8																																																																																																																																
3.6. Operate with a small bootloader	8																																																																																																																																
3.7. Small Parsers	9																																																																																																																																
3.8. Minimal impact on existing firmware formats	9																																																																																																																																
3.9. Robust permissions	9																																																																																																																																
3.10. Operating modes	9																																																																																																																																
4. Claims	11																																																																																																																																
5. Communication Architecture	12																																																																																																																																
6. Manifest	16																																																																																																																																
7. Device Firmware Update Examples	17																																																																																																																																
7.1. Single CPU SoC	17																																																																																																																																
7.2. Single CPU with Secure - Normal Mode Partitioning	17																																																																																																																																
7.3. Dual CPU, shared memory	17																																																																																																																																
7.4. Dual CPU, other bus	17																																																																																																																																
8. Bootloader	18																																																																																																																																
9. Example	20																																																																																																																																
10. IANA Considerations	21																																																																																																																																
11. Security Considerations	22																																																																																																																																
12. Mailing List Information	22																																																																																																																																
13. Acknowledgements	23																																																																																																																																
14. References	24																																																																																																																																
14.1. Normative References	24																																																																																																																																
14.2. Informative References	24																																																																																																																																
14.3. URIs	25																																																																																																																																
Authors' Addresses	25																																																																																																																																
1. Introduction	3																																																																																																																																
2. Conventions and Terminology	3																																																																																																																																
3. Requirements	7																																																																																																																																
3.1. Agnostic to how firmware images are distributed	7																																																																																																																																
3.2. Friendly to broadcast delivery	8																																																																																																																																
3.3. Use state-of-the-art security mechanisms	8																																																																																																																																
3.4. Rollback attacks must be prevented	8																																																																																																																																
3.5. High reliability	9																																																																																																																																
3.6. Operate with a small bootloader	9																																																																																																																																
3.7. Small Parsers	10																																																																																																																																
3.8. Minimal impact on existing firmware formats	10																																																																																																																																
3.9. Robust permissions	10																																																																																																																																
3.10. Operating modes	10																																																																																																																																
3.11. Suitability to software and personalization data	12																																																																																																																																
4. Claims	13																																																																																																																																
5. Communication Architecture	13																																																																																																																																
6. Manifest	17																																																																																																																																
7. Device Firmware Update Examples	18																																																																																																																																
7.1. Single CPU SoC	18																																																																																																																																
7.2. Single CPU with Secure - Normal Mode Partitioning	18																																																																																																																																
7.3. Dual CPU, shared memory	18																																																																																																																																
7.4. Dual CPU, other bus	18																																																																																																																																
8. Bootloader	19																																																																																																																																
9. Example	21																																																																																																																																
10. IANA Considerations	24																																																																																																																																
11. Security Considerations	24																																																																																																																																
12. Mailing List Information	25																																																																																																																																
13. Acknowledgements	26																																																																																																																																
14. References	27																																																																																																																																
14.1. Normative References	27																																																																																																																																
14.2. Informative References	27																																																																																																																																
14.3. URIs	28																																																																																																																																
1. Introduction	1. Introduction																																																																																																																																
When developing IoT devices, one of the most difficult problems to solve is how to update the firmware on the device. Once the device is deployed, firmware updates play a critical part in its lifetime, particularly when devices have a long lifetime, are deployed in remote or inaccessible areas where manual intervention is cost prohibitive or otherwise difficult. Updates to the firmware of an IoT device are done to fix bugs in software, to add new	When developing IoT devices, one of the most difficult problems to solve is how to update the firmware on the device. Once the device is deployed, firmware updates play a critical part in its lifetime, particularly when devices have a long lifetime, are deployed in remote or inaccessible areas where manual intervention is cost prohibitive or otherwise difficult. Updates to the firmware of an IoT device are done to fix bugs in software, to add new																																																																																																																																
skipping to change at page 5, line 10	skipping to change at page 5, line 10																																																																																																																																
- Homogeneous Storage Architecture (HoSA): A device that stores all firmware components in the same way, for example in a file system or in flash memory.	- Homogeneous Storage Architecture (HoSA): A device that stores all firmware components in the same way, for example in a file system or in flash memory.																																																																																																																																
- Heterogeneous Storage Architecture (HeSA): A device that stores at least one firmware component differently from the rest, for example a device with an external, updatable radio, or a device with internal and external flash memory.	- Heterogeneous Storage Architecture (HeSA): A device that stores at least one firmware component differently from the rest, for example a device with an external, updatable radio, or a device with internal and external flash memory.																																																																																																																																
	- Trusted Execution Environments (TEEs): An execution environment that runs alongside of, but is isolated from, an REE. - Rich Execution Environment (REE): An environment that is provided and governed by a typical OS (e.g., Linux, Windows, Android, iOS), potentially in conjunction with other supporting operating systems																																																																																																																																

The following entities are used:

- Author: The author is the entity that creates the firmware image. There may be multiple authors in a system either when a device consists of multiple micro-controllers or when the the final firmware image consists of software components from multiple companies.
- Firmware Consumer: The firmware consumer is the recipient of the firmware image and the manifest.
- Device: A device refers to the entire IoT product, which consists of one or many MCUs, sensors and/or actuators. Many IoT devices sold today contain multiple MCUs and therefore a single device may need to obtain more than one firmware image and manifest to successfully perform an update. The terms device and firmware consumer are used interchangeably since the firmware consumer is one software component running on an MCU on the device.
- Status Tracker: The status tracker offers device management functionality to monitor the firmware update process. A status tracker may, for example, want to know what state of the firmware update cycle the device is currently in.
- Firmware Server: The firmware server stores firmware images and manifests and distributes them to IoT devices. Some deployments may require a store-and-forward concept, which requires storing the firmware images/manifests on more than one entity before they reach the device.
- Device Operator: The actor responsible for the day-to-day operation of a fleet of IoT devices.
- Network Operator: The actor responsible for the operation of a network to which IoT devices connect.

In addition to the entities in the list above there is an orthogonal infrastructure with a Trust Provisioning Authority (TPA) distributing trust anchors and authorization permissions to various entities in

and hypervisors; it is outside of the TEE. This environment and applications running on it are considered un-trusted.

- Trusted applications (TAs): An application component that runs in a TEE.

For more information about TEEs see [I-D.ietf-teep-architecture].

The following entities are used:

- Author: The author is the entity that creates the firmware image. There may be multiple authors in a system either when a device consists of multiple micro-controllers or when the the final firmware image consists of software components from multiple companies.
- Firmware Consumer: The firmware consumer is the recipient of the firmware image and the manifest. It is responsible for parsing and verifying the received manifest and for storing the obtained firmware image. The firmware consumer plays the role of the update component on the IoT device typically running in the application firmware. It interacts with the firmware server and with the status tracker, if present.
- (IoT) Device: A device refers to the entire IoT product, which consists of one or many MCUs, sensors and/or actuators. Many IoT devices sold today contain multiple MCUs and therefore a single device may need to obtain more than one firmware image and manifest to successfully perform an update. The terms device and firmware consumer are used interchangeably since the firmware consumer is one software component running on an MCU on the device.
- Status Tracker: The status tracker offers device management functionality to retrieve information about the installed firmware on a device and other device characteristics (including free memory and hardware components), to obtain the state of the firmware update cycle the device is currently in, and to trigger the update process. The deployment of status trackers is flexible and they may be used as cloud-based servers, on-premise servers, embedded in edge computing device (such as Internet access gateways or protocol translation gateways), or even in smart phones and tablets. While the IoT device itself runs the client-side of the status tracker it will most likely not run a status tracker itself unless it acts as a proxy for other IoT devices in a protocol translation or edge computing device node. How much functionality a status tracker includes depends on the selected configuration of the device management functionality and the communication environment it is used in. In a generic networking environment the protocol used between the client and the server-side of the status tracker need to deal with Internet communication challenges involving firewall and NAT traversal. In other cases, the communication interaction may be rather simple. This architecture document does not impose requirements on the status tracker.
- Firmware Server: The firmware server stores firmware images and manifests and distributes them to IoT devices. Some deployments may require a store-and-forward concept, which requires storing the firmware images/manifests on more than one entity before they reach the device. There is typically some interaction between the firmware server and the status tracker but those entities are often physically separated on different devices for scalability reasons.
- Device Operator: The actor responsible for the day-to-day operation of a fleet of IoT devices.
- Network Operator: The actor responsible for the operation of a network to which IoT devices connect.

In addition to the entities in the list above there is an orthogonal infrastructure with a Trust Provisioning Authority (TPA) distributing trust anchors and authorization permissions to various entities in

skipping to change at page 7, line 5

- Operate with a small bootloader
- Small Parsers
- Minimal impact on existing firmware formats
- Robust permissions
- Diverse modes of operation

skipping to change at page 7, line 44

- Operate with a small bootloader
- Small Parsers
- Minimal impact on existing firmware formats
- Robust permissions
- Diverse modes of operation

3.1. Agnostic to how firmware images are distributed

Firmware images can be conveyed to devices in a variety of ways, including USB, UART, WiFi, BLE, low-power WAN technologies, etc. and use different protocols (e.g., CoAP, HTTP). The specified mechanism needs to be agnostic to the distribution of the firmware images and manifests.

3.1. Agnostic to how firmware images are distributed

Firmware images can be conveyed to devices in a variety of ways, including USB, UART, WiFi, BLE, low-power WAN technologies, etc. and use different protocols (e.g., CoAP, HTTP). The specified mechanism needs to be agnostic to the distribution of the firmware images and manifests.

3.2. Friendly to broadcast delivery

3.2. Friendly to broadcast delivery

skipping to change at page 8, line 28

location for firmware. An alternative approach is to use a 2nd stage bootloader with build-in full featured firmware update functionality such that it is possible to return to the update process after power down.

skipping to change at page 9, line 21

location for firmware. An alternative approach is to use a 2nd stage bootloader with build-in full featured firmware update functionality such that it is possible to return to the update process after power down.

Note: This is an implementation requirement rather than a requirement on the manifest format.

Note: This is an implementation requirement rather than a requirement on the manifest format.

3.6. Operate with a small bootloader

3.6. Operate with a small bootloader

The bootloader must be minimal, containing only flash support, cryptographic primitives and optionally a recovery mechanism. The recovery mechanism is used in case the update process failed and may include support for firmware updates over serial, USB or even a limited version of wireless connectivity standard like a limited Bluetooth Smart. Such a recovery mechanism must provide security at least at the same level as the full featured firmware update functionalities.

Throughout this document we assume that the bootloader itself is distinct from the role of the fw consumer and therefore does not manage the firmware update process. This may give the impression that the bootloader itself is a completely separate component, which is mainly responsible for selecting a firmware image to boot.

The bootloader needs to verify the received manifest and to install the bootable firmware image. The bootloader should not require updating since a failed update poses a risk in reliability. If more functionality is required in the bootloader, it must use a two-stage bootloader, with the first stage comprising the functionality defined above.

The overlap between the firmware update process and the bootloader functionality comes in two forms, namely

- First, a bootloader must verify the firmware image it boots as part of the secure boot process. Doing so requires meta-data to be stored alongside the firmware image so that the bootloader can cryptographically verify the firmware image before booting it to ensure it has not been tampered with or replaced. This meta-data used by the bootloader may well be the same manifest obtained with the firmware image during the update process (with the severable fields stripped off).
- Second, an IoT device needs a recovery strategy in case the firmware update / boot process fails. The recovery strategy may

All information necessary for a device to make a decision about the installation of a firmware update must fit into the available RAM of a constrained IoT device. This prevents flash write exhaustion.

Note: This is an implementation requirement.

3.7. Small Parsers
Since parsers are known sources of bugs they must be minimal. Additionally, it must be easy to parse only those fields that are required to validate at least one signature or MAC with minimal exposure.

skipping to change at page 11, line 24
process to determine the appropriate timing for an update (such as delaying the update process to a later time when end users are less impacted by the update process).
Installation is the act of processing the payload into a format that the IoT device can recognise and the bootloader is responsible for then booting from the newly installed firmware image.
Each of these steps may require different permissions.

4. Claims
Claims in the manifest offer a way to convey instructions to a device that impact the firmware update process. To have any value the manifest containing those claims must be authenticated and integrity protected. The credential used to must be directly or indirectly related to the trust anchor installed at the device by the Trust Provisioning Authority.
The baseline claims for all manifests are described in

skipping to change at page 15, line 25

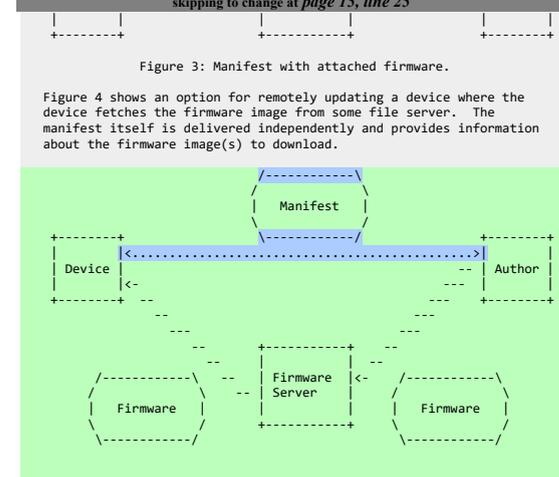


Figure 3: Manifest with attached firmware. Figure 4: Independent retrieval of the firmware image.

6. Manifest
In order for a device to apply an update, it has to make several decisions about the update:

skipping to change at page 20, line 9
While the software architecture of the bootloader and its security mechanisms are implementation-specific, the manifest can be used to control the firmware download from the Internet in addition to augmenting secure boot process. These building blocks are highly relevant for the design of the manifest.

9. Example
The following example message flow illustrates a possible interaction for distributing a firmware image to a device starting with an author uploading the new firmware to firmware server and creating a manifest. The firmware and manifest are stored on the same firmware server.

include storing two or more firmware images on the device or offering the ability to have a second stage bootloader perform the firmware update process again using firmware updates over serial, USB or even wireless connectivity like a limited version of Bluetooth Smart. In the latter case the fw consumer functionality is contained in the second stage bootloader and requires the necessary functionality for executing the firmware update process, including manifest parsing.
In general, it is assumed that the bootloader itself, or a minimal part of it, will not be updated since a failed update of the bootloader poses a risk in reliability.

All information necessary for a device to make a decision about the installation of a firmware update must fit into the available RAM of a constrained IoT device. This prevents flash write exhaustion.
This is typically not a difficult requirement to accomplish because there are not other task/processing running while the bootloader is active (unlike it may be the case when running the application firmware).

Note: This is an implementation requirement.

skipping to change at page 12, line 34
process to determine the appropriate timing for an update (such as delaying the update process to a later time when end users are less impacted by the update process).
Installation is the act of processing the payload into a format that the IoT device can recognise and the bootloader is responsible for then booting from the newly installed firmware image.
Each of these steps may require different permissions.

3.11. Suitability to software and personalization data
The work on a standardized manifest format initially focused on the most constrained IoT devices and those devices contain code put together by a single author (although that author may obtain code from other developers, some of it only in binary form).
Later it turns out that other use cases may benefit from a standardized manifest format also for conveying software and even personalization data alongside software. Trusted Execution Environments (TEEs), for example, greatly benefit from a protocol for managing the lifecycle of trusted applications (TAs) running inside a TEE. TEEs may obtain TAs from different authors and those TAs may require personalization data, such as payment information, to be securely conveyed to the TEE.
To support this wider range of use cases the manifest format should therefore be extensible to convey other forms of payloads as well.

4. Claims
Claims in the manifest offer a way to convey instructions to a device that impact the firmware update process. To have any value the manifest containing those claims must be authenticated and integrity protected. The credential used to must be directly or indirectly related to the trust anchor installed at the device by the Trust Provisioning Authority.
The baseline claims for all manifests are described in

skipping to change at page 16, line 25

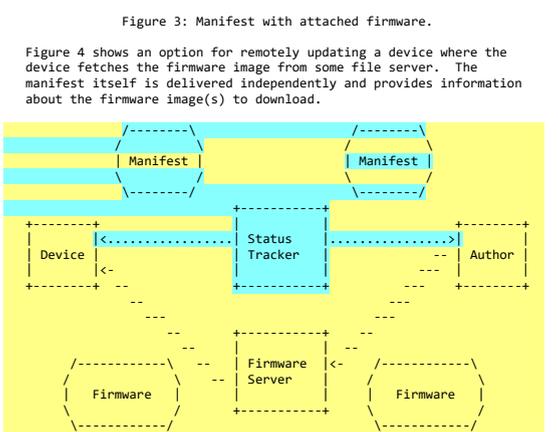
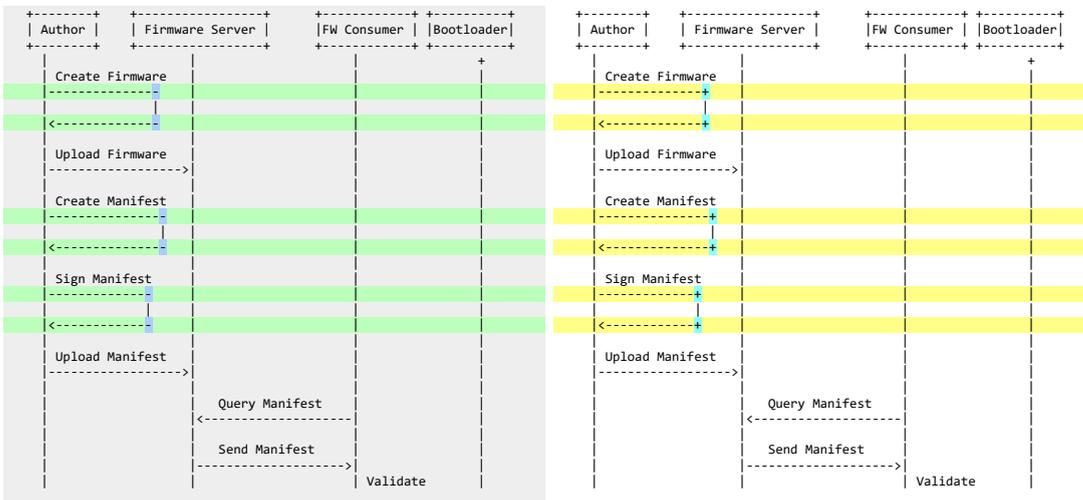


Figure 3: Manifest with attached firmware. Figure 4: Independent retrieval of the firmware image.

6. Manifest
In order for a device to apply an update, it has to make several decisions about the update:

skipping to change at page 21, line 9
While the software architecture of the bootloader and its security mechanisms are implementation-specific, the manifest can be used to control the firmware download from the Internet in addition to augmenting secure boot process. These building blocks are highly relevant for the design of the manifest.

9. Example
Figure 5 illustrates an example message flow for distributing a firmware image to a device starting with an author uploading the new firmware to firmware server and creating a manifest. The firmware and manifest are stored on the same firmware server. This setup does not use a status tracker and the firmware consumer component is therefore responsible for periodically checking whether a new firmware image is available for download.



skipping to change at page 21, line 10

skipping to change at page 22, line 12

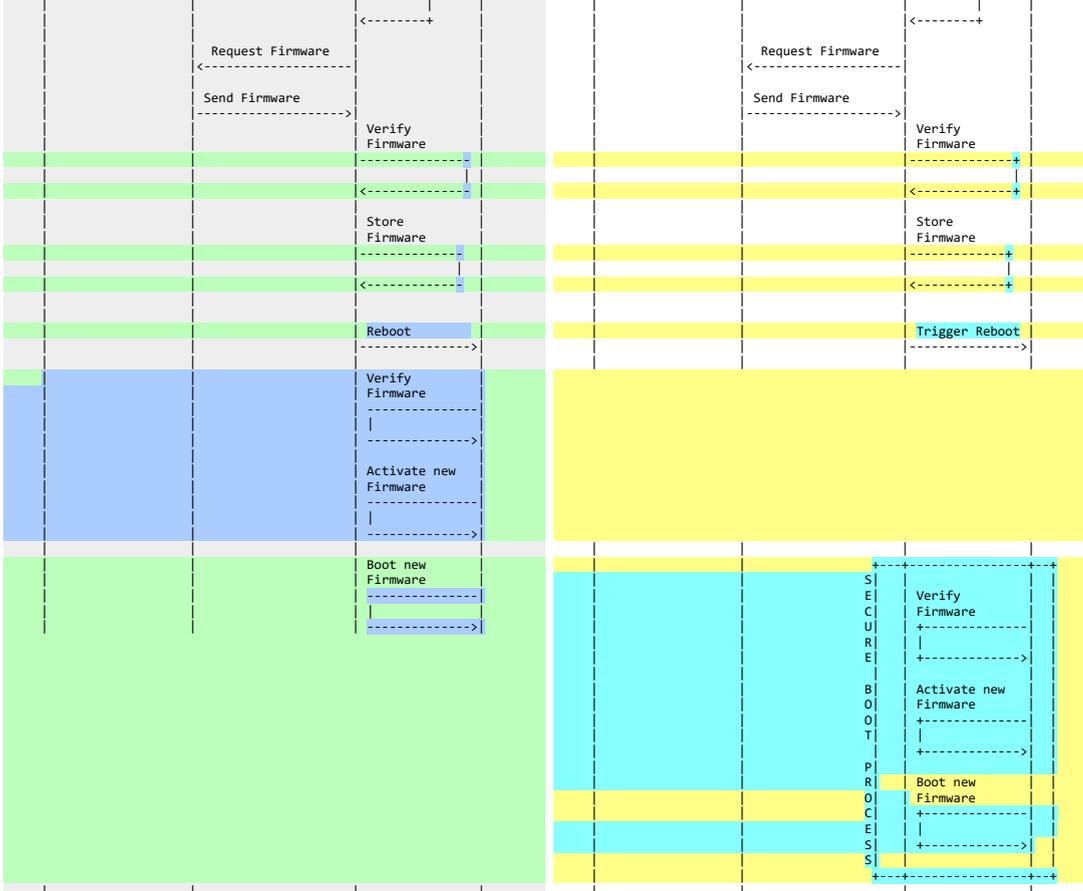
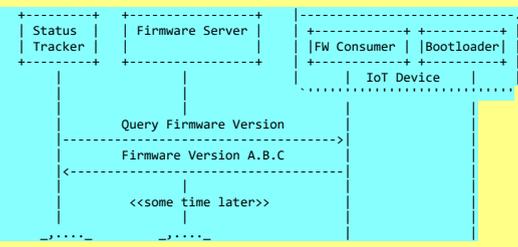


Figure 5: Example Flow for a Firmware Update.

Figure 5: First Example Flow for a Firmware Update.

Figure 6 shows an example follow with the device using a status tracker. For editorial reasons the author publishing the manifest at the status tracker and the firmware image at the firmware server is not shown. Also omitted is the secure boot process following the successful firmware update process.

The exchange starts with the device interacting with the status tracker; the details of such exchange will vary with the different device management systems being used. In any case, the status tracker learns about the firmware version of the devices it manages. In our example, the device under management is using firmware version A.B.C. At a later point in time the author uploads a new firmware along with the manifest to the firmware server and the status tracker, respectively. While there is no need to store the manifest and the firmware on different servers this example shows a common pattern used in the industry. The status tracker may then automatically, based on human intervention or based on a more complex policy decide to inform the device about the newly available firmware image. In our example, it does so by pushing the manifest to the FW consumer. The firmware consumer downloads the firmware image with the newer version X.Y.Z after successful validation of the manifest. Subsequently, a reboot is initiated and the secure boot process starts.



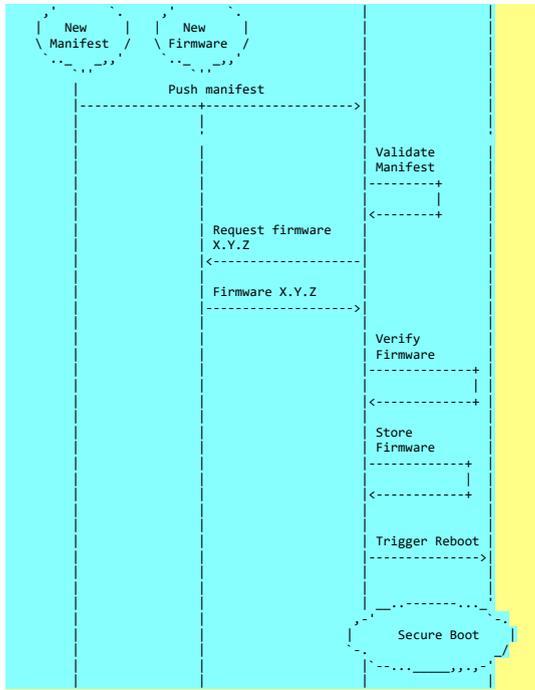


Figure 6: Second Example Flow for a Firmware Update.

10. IANA Considerations
This document does not require any actions by IANA.

11. Security Considerations
Firmware updates fix security vulnerabilities and are considered to be an important building block in securing IoT devices. Due to the importance of firmware updates for IoT devices the Internet

10. IANA Considerations
This document does not require any actions by IANA.

11. Security Considerations
Firmware updates fix security vulnerabilities and are considered to be an important building block in securing IoT devices. Due to the importance of firmware updates for IoT devices the Internet

skipping to change at page 22, line 50
protecting the manifest.

- incentives for manufacturers to offer a firmware update mechanism as part of their IoT products.

12. Mailing List Information
The discussion list for this document is located at the e-mail address suit@ietf.org [1]. Information on the group and information on how to subscribe to the list is at <https://www1.ietf.org/mailman/listinfo/suit> [2]

Archives of the list can be found at: <https://www.ietf.org/mail-archive/web/suit/current/index.html> [3]

13. Acknowledgements
We would like to thank the following persons for their feedback:

- Geraint Luff
- Amyas Phillips
- Dan Ros

skipping to change at page 25, line 43
protecting the manifest.

- incentives for manufacturers to offer a firmware update mechanism as part of their IoT products.

12. Mailing List Information
The discussion list for this document is located at the e-mail address suit@ietf.org [1]. Information on the group and information on how to subscribe to the list is at <https://www1.ietf.org/mailman/listinfo/suit>

Archives of the list can be found at: <https://www.ietf.org/mail-archive/web/suit/current/index.html>

13. Acknowledgements
We would like to thank the following persons for their feedback:

- Geraint Luff
- Amyas Phillips
- Dan Ros

skipping to change at page 24, line 4

- Markus Gueller
- Henk Birkholz
- Jintao Zhu
- Takeshi Takahashi
- Jacob Beningo

We would also like to thank the WG chairs, Russ Housley, David Waltermire, Dave Thaler for their support and their reviews.

14. References

14.1. Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.

[RFC7925] Tschofenig, H., Ed. and T. Fossati, "Transport Layer Security (TLS) / Datagram Transport Layer Security (DTLS) Profiles for the Internet of Things", RFC 7925, DOI 10.17487/RFC7925, July 2016, <<https://www.rfc-editor.org/info/rfc7925>>.

14.2. Informative References

[I-D.ietf-suit-information-model] Moran, B., Tschofenig, H., and H. Birkholz, "Firmware Updates for Internet of Things Devices - An Information Model for Manifests", draft-ietf-suit-information-model-02 (work in progress), January 2019.

[LwM2M] OMA, ., "Lightweight Machine to Machine Technical Specification, Version 1.0.2", February 2018, <http://www.openmobilealliance.org/release/LightweightM2M/V1_0_2-20180209-A/OMA-TS-LightweightM2M-V1_0_2-20180209-A.pdf>.

skipping to change at page 26, line 48

- Markus Gueller
- Henk Birkholz
- Jintao Zhu
- Takeshi Takahashi
- Jacob Beningo

We would also like to thank the WG chairs, Russ Housley, David Waltermire, Dave Thaler for their support and their reviews.

14. References

14.1. Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.

[RFC7925] Tschofenig, H., Ed. and T. Fossati, "Transport Layer Security (TLS) / Datagram Transport Layer Security (DTLS) Profiles for the Internet of Things", RFC 7925, DOI 10.17487/RFC7925, July 2016, <<https://www.rfc-editor.org/info/rfc7925>>.

14.2. Informative References

[I-D.ietf-suit-information-model] Moran, B., Tschofenig, H., and H. Birkholz, "Firmware Updates for Internet of Things Devices - An Information Model for Manifests", draft-ietf-suit-information-model-03 (work in progress), July 2019.

[I-D.ietf-teep-architecture] Pei, M., Tschofenig, H., Wheeler, D., Atyeo, A., and D. Liu, "Trusted Execution Environment Provisioning (TEEP) Architecture", draft-ietf-teep-architecture-03 (work in progress), July 2019.

[LwM2M] OMA, ., "Lightweight Machine to Machine Technical Specification, Version 1.0.2", February 2018, <http://www.openmobilealliance.org/release/LightweightM2M/V1_0_2-20180209-A/OMA-TS-LightweightM2M-V1_0_2-20180209-A.pdf>.

[RFC5649] Housley, R. and M. Dworkin, "Advanced Encryption Standard (AES) Key Wrap with Padding Algorithm", RFC 5649, DOI 10.17487/RFC5649, September 2009, <https://www.rfc-editor.org/info/rfc5649>.	[RFC5649] Housley, R. and M. Dworkin, "Advanced Encryption Standard (AES) Key Wrap with Padding Algorithm", RFC 5649, DOI 10.17487/RFC5649, September 2009, <https://www.rfc-editor.org/info/rfc5649>.
[RFC6024] Reddy, R. and C. Wallace, "Trust Anchor Management Requirements", RFC 6024, DOI 10.17487/RFC6024, October 2010, <https://www.rfc-editor.org/info/rfc6024>.	[RFC6024] Reddy, R. and C. Wallace, "Trust Anchor Management Requirements", RFC 6024, DOI 10.17487/RFC6024, October 2010, <https://www.rfc-editor.org/info/rfc6024>.
[RFC8240] Tschofenig, H. and S. Farrell, "Report from the Internet of Things Software Update (IoTSU) Workshop 2016", RFC 8240, DOI 10.17487/RFC8240, September 2017, <https://www.rfc-editor.org/info/rfc8240>.	[RFC8240] Tschofenig, H. and S. Farrell, "Report from the Internet of Things Software Update (IoTSU) Workshop 2016", RFC 8240, DOI 10.17487/RFC8240, September 2017, <https://www.rfc-editor.org/info/rfc8240>.
14.3. URIs	14.3. URIs
[1] mailto:suit@ietf.org	[1] mailto:suit@ietf.org
[2] https://www1.ietf.org/mailman/listinfo/suit	
[3] https://www.ietf.org/mail-archive/web/suit/current/index.html	
Authors' Addresses	Authors' Addresses
Brendan Moran Arm Limited EMail: Brendan.Moran@arm.com Milosch Meriac Consultant	Brendan Moran Arm Limited EMail: Brendan.Moran@arm.com Milosch Meriac Consultant

End of changes. 44 change blocks.

130 lines changed or deleted

310 lines changed or added

This html diff was produced by rfcdiff 1.47. The latest version is available from <http://tools.ietf.org/tools/rfcdiff/>.